Working Group on Sterilization Review of Gudielines

As background review, we at CEHAT have conducted a comparative analysis of sterilization guidelines issued by the Govt. Of India, Govt. Of Maharshtra, Municipal Corporation of Greater Mumbai and International standards set forth by WHO and FIGO.

➤ Circulars studied include those we could obtain from Dr. Nikhil Datar. These are issued by the Directorate of Health Services [DHS], Govt. of Maharashtra and the Municipal Corporation of Greater Mumbai. In our analysis, we have focused on circulars that were issued after the year 2000.

> Review of National Guidelines includes:

- "Standards for Female and Male Sterilization Services" issued by the MOHFW, Govt. Of India; October 2006
- "Standard Operating Procedures for Sterilization Services in Camps" issued by MOHFW, Govt. Of India, March 2008
- "Quality Assurance Manual for Sterilization Services" issued by the MOHFW, Govt. Of India; October 2006
- "Operational Guidelines for FDS [Fixed-Day Static] Approach for Sterilization Services" issued by the MOHFW, Govt. Of India; November 2008
- "Manual for Family Planning Insurance Scheme" Implemented by MOHFW, 2011.

A. Informed Consent

Criteria	Circulars	National Guidelines	Issues/Comments
	MCGM, 2009 1. No clause on Vasectomy even though informed consent form is for both	Clause on Vasectomy states that "I understand that vasectomy does not result in immediate	 There are unexplained variations between the consent form mandated by the national guidelines and that provided by the state circular. While compensation for failure is mentioned
	men and women (E5). 2. Counsellor [can be any health personnel including doctor] is required to sign the undertaking which	sterilization. *I agree to come for semen analysis three months after the operation to confirm the success of	in the consent form, that for death or complications is not.

Issues related to Post-partum sterilization, and sterilization concurrent with MTP/C-section	additionally states that: "Shri/Smthas been explained other methods of contraception available and the failures associated with other methods have been fully explained" 3. No compensation mentioned for complications and death. No guidelines for consent while doing TL with C-section or MTP.	responsible for the consequences, if any." 2. No mention of failure rates of other contraception in National guidelines 3. There is a mention that compensation for complications including that for failure. No guidelines for consent while doing TL with C-section or MTP.	There are no specific guidelines for consent/counseling for doing TL with a normal delivery or C-section even though these procedures are performed commonly. The child's health, whether he/she dies or lives, may have an effect on the woman's choice to undergo sterilization and these must be considered. Further, MTP and TL are often performed concurrently and consent for this is sought prior to abortion. However, FIGO guidelines say that consent should not be requested when the woman
			Further, MTP and TL are often performed concurrently and consent for this is sought prior to abortion. However, FIGO guidelines say that
			WHO guidelines: "Immediate postpartum sterilization may have some advantages, but the chance that a woman will regret her previous decision after

			undergoing sterilization is higher with women who undergo the procedure at this time, especially in cases of a child's illness or death or a change in marital status. Proper counselling is very importantResearch suggests that regret after postpartum sterilization may be more common among younger women (less than 30 to 35 years old), women with few children, and those having caesarean sections. For postpartum clients with medical problems or for those who do not wish a permanent method, other long-term options exist. The IUD and Norplant may be inserted during the immediate postpartum."
			"Women's consent to sterilization should not be made a condition of access to medical care, such as HIV/AIDS treatment, natural or cesarean delivery, or abortion, or of any benefit such as medical insurance, social assistance, employment or release from an institution. In addition, consent to sterilization should not be requested when women may be vulnerable, such as when requesting termination of pregnancy, going into labor or in the aftermath of delivery."
Consent of the Spouse	The consent form issued by MCGM (2009) asks only for the acceptor's signature.	National Guidelines too require only the consent of the acceptor, not that of the spouse.	However, article 7.16 of the Indian Medical Council (Professional Conduct, Etiquette and Ethics) Regulations, 2002, says that any procedure that results in sterility the consent of both husband and wife is required. As a result of this, the ICOG-FOGSI

			recommendation is that the signature of the client is required and that of the spouse is 'preferable'.
Mentally ill clients		"Mentally ill clients must be certified by a psychiatrist, and a statement should be given by the legal guardian/spouse regarding the soundness of the client's state of mind."	What is meant by 'mentally ill'? How can the legal guardian/spouse be allowed to give consent? Need for guidelines in these cases.
Incentive for Motivator	Amount to be received by motivator is mentioned, for both public and private facilities.	Amount to be received by motivator is mentioned, for both public and private facilities.	Incentives provided to motivators provide scope for coercion, particularly when there are no incentives for other forms of contraception. Although the guidelines, consent form etc do mandate counseling and provision of choices of contraception, the tone of circulars is one that pushes for more sterilizations to be performed. For instance, one circular states that "In every family, after they have 2 living children-advise sterilization. After 2 children, the sterilization rates should be 80-100%."

B. Eligibility of Providers

Criteria	Circulars	Natio	nal Guidelines	Issues
Eligibility of	. Circular issued by ADHS,	a. As per the "	Standards for Female	 Ambiguities and contradictions
<u>providers</u>	F.W.M.C.H. and S.H. Pune on	and Male Sto	erilization Services"	exist in the National guidelines
	<u>30/10/2006</u>	issued by Mo	OHFW, GoI; October	itself, resulting in varied
		<u>2006</u> :		interpretations by individual State
	 MBBS doctor can conduct 			stakeholders, as well as potential
	mini-lap, abdominal tubectomy	Female Steri	llization:	for confusion among providers.
		Service	Basic qualification	
	years of practice and training in			• Unclear why MD [Obs/Gyn] who
	any civil hospital/ medical	Minilap	Trained MBBS doctor	are expected to receive training in
	college hospital/private	services		laparoscopic procedures during
	teaching hospital.	Laparoscopic	DGO, MD(Obs/Gyn),	their residency require additional
		sterilization	MS (Surgery)	training.
	• M.B.B.S. doctor <u>CAN</u> perform		(trained in laparoscopic	
	laparoscopic tubectomy.		sterilization)	 State guidelines in addition to not
				adhering to national standards,
	 MBBS doctor can conduct 	 Male Steriliz 	zation:	lack insight wherein a MBBS
	NSV [no scalpel vasectomy]	Service	Basic qualification	doctor is allowed to perform
	after 5 years of practice and		requirement of provider	laparoscopic sterilization, while a
	NSV training in any civil	Conventional	Trained MBBS doctor	DGO/ MD [Gen. Surgery]
	hospital/ medical college	vasectomy		requires training in the same.
	/private training hospital.	No-scalpel	Trained MBBS doctor	
		vasectomy		
	• The doctor with D.G.O., M.D.			
	(General Surgery) can conduct	b. As per <u>Annex</u>	ture 13 of "Operational	
	laparoscopic sterilization	Guidelines fo	r FDS Approach in	
	operation only after completion	Sterilization S	Services;" issued by	
	of Phase-I, Phase –II training in	MOHFW, Go	oI; November 2008	
	recognized hospital.	The nature of	trainees for laparoscopic	
		training inclu	des a team comprising	
	. <u>Guidelines issued by the GoM-</u>	gynecologist/	surgeon (of 3 years'	

Public Health Dept. on 29/4/2005 to comply with Supreme Court orders to all States on 1st March 2005

Doctors having post-graduate qualification as M.D.
Obstetrics and Gynecology or D.G.O are competent to perform routine vasectomies, minilap tubectomies and laparoscopic sterilizations.

Doctors having postgraduate qualification as M.S. General Surgery are competent to perform laparoscopic sterilizations only after the specified training. They can however perform other procedures listed above.

Doctors with M.B.B.S. degree can perform routine vasectomies, non-scalpel vasectomies and minilap tubectomies only after the prescribed training and have 5 years experience. Laparoscopic sterilizations cannot be carried out by M.B.B.S. doctors.

Circular issued by DHS, GoM

standing) who is already performing or who is trained in Minilap, and OT Nurse and OT technician

As per "Quality Assurance Manual for Sterilization Services" issued by MOHFW, GoI; October 2006

- Female sterilization by minilap tubectomy should be performed by a trained MBBS/ post graduate doctor.
- Laparoscopic sterilization for females should be performed by a gynaecologist with DGO/MD/MS qualification or by a surgeon with an MS degree; these doctors should be trained in laparoscopic sterilization.
- Male sterilization procedures, both conventional vasectomy and noscalpel vasectomy (NSV), should be performed by a trained MBBS doctor/or a post graduate doctor.

	on 27/10/2007	
	For performing	
	NSV/Vasectomy:MBBS + 5	
	years experience is required as	
	per Supreme Court orders.	
Tuoinina nlan	Guidelines issued by DHS, GoM	- Despite male starilization being a
Training plan		• Despite male sterilization being a relatively safer and easier
	to District Health Officers,	procedure, female sterilization is
	District Civil Surgeons and	being made the focus of family
	Medical Officers; 2000	planning programs. 37% of women
	D 1 1 CC	who have opted for any method of
	Permanent medical officers	family planning have undergone
	should be trained in tubectomy	sterilization themselves, and only
	within 4 months and such	1% have had male sterilization done
	training should be organized.	as the family planning method. The
		focus clearly needs to shift to
	• For medical officers who have	counsel couples about the benefits
	received training but are	and ease of vasectomy as a choice;
	hesitant to perform	and on training doctors in the same.
	tubectomies, they should be	The neture of these evidelines is
	given the opportunity to work	• The nature of these guidelines is
	with experts in tubectomy, and	punitive and authoritarian with a
	learn from them. Despite this,	single-pointed focus on
	if they refuse to do tubectomy,	increasing the number of
	they should be relieved of their	sterilizations, and not on quality
	duties and sent them to DHS	per se.
	for further orders.	
	 Trained doctors who lack 	
	confidence should be sent again	
	for training.	
	Tor training.	
	 Medical officers who refuse to 	
	go for training should be	

relieved from their dutie sent to DHS for further of All MBBS, general surge gynecologists, medical of will have to perform tubectomies. It is binding	orders. geons, officers ag on	
 them as per DHS order. Retd. Medical officers we received training of tube can perform tubectomy at their retirement. 	vho ectomy	

C. Timing and Selection of Procedure, and Case Selection

Criteria	Circulars	National Guidelines	Issues/ Concerns
Timing & Selection	a. Circular issued by DHS, GoM; 2/4/2004	Interval sterilization should	 National guidelines lack
of Procedure		be performed within 7 days of	clear directions for when
	 Not to perform laparoscopic sterilization with 	the menstrual period (in the	Minilap TL is to be
	first trimester MTPs/abortions/for 6 weeks after	follicular phase of the	performed/not; and when
	delivery.	menstrual cycle).	laparoscopic TL is the
			preferred procedure.
	 The institutions which desire to seek 	Post-partum sterilization	
	permission for performing MTP with	should be done after 24 hours	 State guidelines do not
	laparoscopic sterilization should send	up to 7 days of delivery.	provide basis for why certain
	application to the DGHS. Permission can be		procedures are not to be
	granted after inspection by the committee of	Sterilization with medical	performed during the given
	experts consisting of representatives from the	termination of pregnancy	period or at a particular
	Govt., FOGSI and FPAI.	(MTP) can be performed	institution. They appear ad-
		concurrently.	hoc in response to
	 No individual practitioner will be granted 		complications/ deaths, rather
	permission but only a hospital, institution	Sterilization following	than being based on

having a number of specialists will be considered.

• Before giving the permission, the HOD Gyn-Obs, and also the head of the institution should give an undertaking that in case of any death or complication, they will be liable for legal action with payment of compensation to the deceased. concurrently with s

b. DHS, GoM guidelines; 21/10/2003

- Laparoscopic sterilization should be discontinued at PHCs. It may be continued at rural/cottage hospitals/corp. hospitals if only all the facilities are available.
- Laparoscopic sterilizations with MTP/abortion/delivery should not be performed at any health institution including medical colleges/private hospitals during camps.
- Laparoscopic sterilizations should not be performed for up to 6 weeks after delivery and 2nd trimester abortions/MTPs in all health institutions including medical colleges and private hospitals.
- Laparoscopic sterilization with 1st trimester abortion/ MTP may be performed only in government medical colleges in routine and not during camps.
- Abdominal tubectomy should be performed 48 hours after delivery.

spontaneous abortion can be performed provided the client fulfils the medical eligibility criteria.

Laparoscopic tubal ligation should not be done concurrently with second-trimester abortion and in the post-partum period.

scientific evidence.

- As per the State guidelines institutions are granted permission to perform MTP with laparoscopic sterilization. However, an empanelled surgeon may not be granted permission for the same in a particular institution, and may require to seek separate permission to perform the same surgery in a different institution.
- Contradictions exist between National and State guidelines, and within State guidelines themselves.
- National guidelines lack protocols for sterilization in case of non-institutional delivery, cesarean section and re-sterilization.

	 c. Circular issued by DHS to Health Officers, MCGM; 2001 Laparoscopic tubectomy should be performed at least 6 weeks after delivery. If MTP has been done, then minilap tubectomy will be appropriate. Non-institutional delivery: After 6 weeks sterilization can be performed. The beneficiary should receive 2 TT doses and to prevent infections, antibiotics are to be given. 		
	 Sterilization should be done within 7 days after the menstrual periods. d. <u>State Family Welfare Office</u>; 1995 For re-sterilization [after failed] preference is to be given to mini-lap tubectomy. 		
Case selection		 Clients should be married (including ever-married). The couple should have at least one child whose age is above one year unless the sterilization is medically indicated. 	 No scope exists in National guidelines for sterilization as a contraceptive choice of in unmarried/ nulliparous women. It is not clear if practitioners are simply to refuse such women.
			 National guidelines do not advise extra caution in young women, with emphasis on counseling about the permanency of sterilization and the

	availability of alternative,
	long-term, highly effective
	methods. Caution has been
	advised as per WHO
	guidelines due to higher
	incidence of regret and
	request for reversal in this
	age group. Given that the
	median age for sterilization
	in Maharashtra is 26 years, it
	would be important to stress
	this point.

D. Guidelines related to Pre-op, Procedural and Post-op issues with Sterilization

Criteria	Circulars	National Guidelines	Issues/ Concerns
Admission	Beneficiary should be admitted one	Prior to the surgery, compilation of	Increasingly sterilization is being
	day before the surgery.	the client's medical history, physical	done as a day surgery, not requiring
	[Circular issued by State Family	examination, and laboratory	admission. The national guidelines
	Welfare Dept., Pune on 6/6/2009]	investigations need to be done in	do not state if the beneficiary is to be
		order to ensure the eligibility of the	admitted. It is not clear if the State
		client for surgery. It is essential to	guidelines issued in 2009 should
		ensure that the consent for surgery is	override the National guidelines
		voluntary and well informed.	[2006], and there may be ambiguity
		However, no specific guidelines are	for practitioners on the protocol to be
		provided on whether/ when the	followed.
		beneficiary is to be admitted.	
<u>Anesthesia</u>	 Local anesthesia should be used 	 Skin sensitivity testing for local 	 Contradiction exists between State
	for family planning sterilization.	anaesthetic agent (lignocaine) has	and National guidelines regarding
	Xylocaine [lignocaine] and	no established predictive value for	sensitivity testing for local
	penicillin sensitivity test to be	anaphylactic reaction. Therefore, it	anesthetic and dosage/use of
	done before surgery and	is not mandatory to perform a skin	lignocaine.
	documented on case papers.	sensitivity test prior to infiltration	

		C1	La
	 Use only 1% xylocaine for local anesthesia. [Circular issued by State Family Welfare Dept., Pune on 6/6/2009] 	 Lignocaine without adrenaline is the local anaesthetic that is to be infiltrated on the OT table. The maximum dosage is 3 mg per kg body weight. 	 State guidelines do not explicitly state conditions when use of general anesthesia may be acceptable.
		 General Anaesthesia: This is rarely necessary. However, it may be required in the following conditions: Non-cooperative patient Excessive obesity History of allergy to local anesthetic drugs 	
Discharge	for 7 days after abdominal	 the client is fully awake, has passed urine, and can walk, drink or talk. The client has been seen and evaluated by the doctor. Whenever necessary, the client should be kept overnight at the facility. 	though the State guidelines have been issued prior to the National guidelines coming into force, there is no circular to that effect of the protocol to be followed post-2005.

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N. G	 Post-lap sterilization, the patient should be kept under observation for 24 hours. Do not give discharge before that or send the beneficiary home. [DHS, GoM guidelines, 2001] 	changed so that the incision area is kept dry until the stitches are removed.	Controllisting between National
No. of surgeries	 Number of sterilizations cannot exceed more than 25 per surgeon per day. [Circular issued by State Family Welfare Dept., Pune on 6/6/2009] For a single laparoscopic team, 2 laparoscopes should be available. 6 laparoscopic sterilizations should be done in 2 hours and 24 in 8 hours in a day by a single team as per guidelines from GoI dated 3/2/2003. [DHS, GoM guidelines: 21/10/2003] 	clients to turn up for accessing services will help in determining number of teams for the camp. For maintaining quality service, each surgeon should restrict to conducting a maximum of: 30 laparoscopic tubectomy (for 1 team with 3 laparoscopes) OR 30 vasectomy (NSV or conventional) OR 30 minilap tubectomy cases * With additional surgeons, support staff, instruments, equipment and supplies, the number of procedures per team may increase proportionately. However, the	 Contradictions between National and State guidelines regarding maximum no. of surgeries that can be performed by a surgeon, and the no.of laparoscopes to be available per operating team. While National guidelines state the maximum no. of surgeries that can be performed per surgeon in a camp, they do not mention the maximum no. of surgeries per surgeon per day; as stated in the State guidelines. As per the National guidelines, the maximum no. of surgeries per team should not cross 50/day with
		 maximum number of procedures that are performed by a team in a day should not exceed 50. Camp timings should preferably between 9 am and 4 pm. 	additional surgeons/staff/equipment available. However, the exact additional resources required to target 50 surgeries/ team is left to conjecture.

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		• It is the responsibility of the camp manager [in-charge of the facility where the camp is being organized- sub-district hospital/FRU/CHC/PHC] to ensure the OT has been disinfected in advance and emergency medicines and other supplies are available at designated places. However the visiting team of surgeon/gynecologist is required to fill the checklist before conducting the procedure, signing that infection-prevention practices have been followed as per laid down standards.	 While the State guidelines state the no. of surgeries possible every 2 hours, given the constraints of equipment and autoclaving requirements; the National guidelines do not state how 30 surgeries/ surgeon would be possible in a 7 hour day from 9 am to 4 pm. Person in-charge for a certain responsibility should be required to sign for it, that the given responsibility has been accomplished.
Follow-up	 be done on an OPD basis or through home visits. If the beneficiary is from another area, the medical officer/worker in that area should be informed about the case details and treatment in writing on the discharge card. Health worker should visit the patient at home on the next day 	 Follow-up contact with all tubectomy clients at home by the female health worker in a government health institution or reporting by the client to the clinic should be established within 48 hours of surgery. The second follow-up should be done on the seventh post-operative day for the removal of stitches and post-operative check-up. A pelvic examination may be done, if 	 Contradictions between National and State guidelines on protocol and responsibility for follow-up. It is unclear how these follow-up requirements are to be adhered to in a large government hospital setting or private healthcare setting, given the existing staffing constraints.
	after sterilization/discharge and examine for any complaints. The medical officer of PHC should visit the patient of laparoscopic	The third follow-up should be done after one month or after the client's	

	TL within 48 hours.	first menstrual period, whichever is	
	• After a month or after the next	earlier.	
	menstrual period, another follow-		
	up visit is to be made.		
	[Circular issued by DHS, GoM to		
	Health Officers, MCGM, 2001]		
<u>Others</u>	If the fallopian tubes are not found,	<u> </u>	National guidelines lack directives
	this should be recorded and	protocol to be followed in such cases.	for such situations.
	communicated to the patient in		
	writing. In such a case, the patient		
	should be taken to the nearest		
	hospital, and the procedure should		
	be performed. If this is not		
	possible, explain clearly to the		
	beneficiary in writing.		
	Circular issued by DHS, GoM to		
	Health Officers, MCGM, 2001]		

E. Quality Assurance and Compensation for Death/Complications/Failures

Criteria	Circulars	National guidelines	Issues
Amount of	Compensation for death within		It is unclear why compensation for death
Compensation	7 days of surgery is 2 lakhs and	days of surgery is 2 lakhs and that	within 0-7 days more than that within 8-
	that for death between 8-30	for death between 8-30 days is	30 days, if the death after 7 days is also
	days is 50,000.	50,000.	resulting from the surgery.
Documents required to	Consent form is required to	Consent form required to claim	While the consent form is required to
claim compensation	claim compensation	compensation	claim compensation as per both State
			Circulars and National Guidelines, it is
			unclear if a copy of this form is to be
			provided to the client.
Providing MTP free of		1.6.3 states: "To detect failure	Contradiction within the National
cost in cases of failure		leading to pregnancy at the earliest,	Guidelines regarding whether

the client should be advised to report to the facility immediately after missed periods. The client should be offered MTP and repeat sterilization surgery or should be medically supported throughout the pregnancy if she so wishes."

MTP/medical support through pregnancy should be provided to clients who report after 2 weeks.

Annexure 4 (Informed Consent form) in the same document states: "If, after the sterilization operation, I/my spouse experience (s) a missed menstrual cycle, then I/my spouse shall report within two weeks of the missed menstrual cycle to the doctor/health facility and may avail of the facility to get an MTP done free of cost.

If I/my wife get (s) pregnant after the failure of the sterilization operation and if I am not able to get the foetus aborted within two weeks, then I will not be entitled to claim any compensation over and above the compensation offered under the Family Planning Insurance Scheme from any court of law in this regard or any other compensation for the

upbringing of the child."

	the QAC meeting minutes.	National guidelines specify the number of facilities and cases that are to be audited every quarter. Detailed checklist for this has also been provided.	All discussion in QAC meetings is around specific cases of complications, failures or deaths. Apart from investigating cases of death, failure and complications, facility and case audit is an important mandate of the QAC. As per the Supreme Court order, the role of the QAC is not just to investigate complications (although that is one way of quality control), but to ensure that preand post- guidelines are being implemented. Yet, it seems that focus on adherence to standards seems to come in only when a death is reported. For instance, in one meeting it was reported that a death had occurred in a camp where 55 sterilizations had been performed in one day [Minutes of State QAC meeting: 26/10/2005]. As a result, the committee recommended that the guidelines be re-circulated. However, no effort seems to be made to ensure that standards are being followed routinely and that deaths do not occur to begin with.
	mention of indemnity for	Provides for indemnity insurance for "doctors/facilities" for up to 4 cases a year.	It is unclear whether indemnity insurance for 4 cases is for an individual doctor or the facility.
	generation.	Mechanism for awareness generation includes only that for state agencies.	No systematic plan for awareness generation among clients.
-	"For sterilizations, 2 private hospitals in every group/Taluka	No such mandate in National Guidelines. The National	Specific need for accrediting private hospitals in each Taluka is unclear.

	is only one private hospital accredited in a Taluka, then neighboring Talukas must be seen for authorizing hospitals there." (Circular M9 - 13.12.07)	Guidelines only say that the State should prepare a district-wise panel of doctors who are authorized to carry out sterilizations.	
Cost of care in private facilities	(Circular M9 - 13.12.07) says "It is the decision/choice of beneficiary to do in govt./private hospital. If done in authorized private center, no incentives will be offered. However, the surgery will be done for free. If beneficiary goes to private facility on their own, then they will receive the motivator amount." Then goes on to say "Authorized private facilities/NGO should display their authorization certificate, services provided by that facility, that no fee will be charged for sterilization, and the incentives for motivator. Should be easily visible. It is not binding on pvt./NGO facilities to give free care to above poverty line and SC/ST females for sterilization."	Guidelines.	Contradictions within the same circular regarding fee to be charged in private facilities.

Defining Complications	The National guidelines recognize Others such as menstrual irregularities
	'complications' of sterilization as are not even recognized as impacts of
	just those immediately related to the sterilization! The national guidelines
	procedure such as stitch abscess, categorically dismiss them as
	hematoma, intestinal obstruction, "conditions not related to sterilization".
	tetanus or incisional hernia – only There are two major problems with this:
	those who are hospitalized are to be 1) These conditions may indeed be
	reported to the QAC and are related to sterilization per se. Women
	eligible for compensation. actually narrate this as part of their
	experience, and it is not just their
	'perception'. Subsequent infections may
	be a consequence of poor quality of care,
	infection control etc. Interestingly,
	'psychological problems' are recognized
	as related to male sterilization, but not
	female sterilization.
	2) Because the National Guidelines
	do not consider that these are related to
	sterilization, there is no effort made to
	, and the second
	report these. And since the QAC does
	not seem to do any facility or procedure
	audit to ensure infection control (except
	in case of death, complications or
	failure), there is a high chance that these
	complaints must be quite common, yet
	are not being recognized as related to
	sterilization!